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Education

Ph.D. University of California, Berkeley, 1985
(Political Science)
M.A. University of California, Berkeley, 1978
(Political Science)
M.S. University of Pennsylvania, 1976
(Secondary Education)
B.A. University of Pennsylvania, 1975
(Political Science)

Employment

Professor
Department of Political Science, University of Pennsylvania, 2000-
Department Chair, 2006-
Chair, Political Science Graduate Program, 1995-1997; 2001-2003
Chair, Political Science Undergraduate Program, 1991-1993

Associate Professor
Department of Political Science, University of Pennsylvania, 1991-2000

Assistant Professor
Department of Political Science, University of Pennsylvania, 1985-1991

Associate Director
Christopher H. Browne Center for International Politics
University of Pennsylvania, 2002-

Director
Asia Program, Foreign Policy Research Institute
Philadelphia, Pa., 1997-2002

Director
Christopher H. Browne Center for International Politics
University of Pennsylvania, 1997-1999

Social Studies Teacher
Tilden Middle School Philadelphia Public School District, 1976-1977

Areas of Specialization

International Relations Theory
Strategic/Security Studies
Chinese Politics

Courses Taught

International Security
Chinese Politics
Seminar in Strategic Studies
International Relations Theory
Seminar in Chinese Politics

Published Work

Books

Rising to the Challenge: China's Grand Strategy and International Security
(Stanford University Press, 2005).

*Deterrence and Security in the 21st Century: China, Britain, France and the
Enduring Legacy of the Nuclear Revolution* (Stanford University Press,
2000)

*From Bandwagon to Balance-of-Power Politics: Structural Constraints and
Politics in China, 1949-1978.* (Stanford University Press, 1991).

Book Chapters

“A Rising China and the Reaction to It: International Circumstances and National
Attributes,” Robert S. Ross and Zhu Feng, eds., *China Rising* (Ithaca:
Cornell University Press, forthcoming 2008).

“Across the Yalu: China's Interests and the Korean Peninsula in a Changing
World,” in Alastair Iain Johnston and Robert S. Ross, eds., *New
Directions in the Study of China's Foreign Policy* (Stanford: Stanford
University Press, 2006), pp. 131-161.

“An Emerging China's Emerging Grand Strategy: A Neo-Bismarckian Turn?” in
G. John Ikenberry and Michael Mastanduno, eds., *International Relations*

Theory and the Asia-Pacific (New York: Columbia University Press, 2003), pp. 57-106.

“Balance-of-Power Politics: Consequences for Asian Security Order,” in Muthiah Alagappa, ed., *Asian Security Order: Instrumental and Normative Features* (Stanford: Stanford University Press, 2003), pp.171-209.

“The Future of U.S.-China Relations and the Korean Peninsula,” in Byung Chul Koh, ed., *The Korean Peninsula in Transition: The Summit and Its Aftermath*, (Seoul, Korea: Kyungnam University Press, 2002), pp. 192-209; reprinted in *Asian Perspective*, Vol. 26, No. 3 (2002) pp. 111-129.

“Structural Realism and China’s Foreign Policy: Much (but never all) of the Story,” in Andrew Hanami, ed., *Perspectives on Structural Realism* (New York: Palgrave Macmillan, 2003), pp. 119-154.

Journal Articles

“Power Transitions, Institutions, and China’s Rise in East Asia: Theoretical Expectations and Evidence,” *The Journal of Strategic Studies* Vol. 30, No. 4-5 (August–October 2007), pp. 639-682. Slightly different version to appear in G. John Ikenberry and Chung-In Moon, eds., *The United States and East Asia: Old Issues and New Thinking* (Rowman and Littlefield, forthcoming).

“The Diplomatic Face of China’s Grand Strategy: A Rising Power’s Emerging Choice,” *The China Quarterly*, no. 168 (December 2001), pp. 835-864.

“U.S. China Policy: Vexing Challenges and Choices,” *Education About Asia*, 6:2 (Fall 2001), pp. 8-11.

“The Political Implications of a Slowdown,” *Orbis* 43:2 (Spring 1999), pp. 203-221.

“China in 1997: A Year of Transitions,” *Asian Survey* 38:1 (January 1998), pp. 34-52.

“Great Expectations: Interpreting China’s Arrival,” *International Security* 22:3 (Winter 1997/98), pp. 36-73. Reprinted as the lead chapter in Michael E. Brown, Owen R. Cote, Jr., Sean M. Lynn-Jones, and Steven E. Miller, *The Rise of China* (Cambridge: Mass: MIT Press, 2000), pp. 3-40.

“China in 1996: Achievement, Assertiveness, Anxiety,” *Asian Survey* 37:1 (January 1997), pp. 29-42.

“Discounting the Free Ride: Alliances and Security in the Postwar World.”

International Organization 49:1 (Winter 1995), pp. 39-71.

“Trends in the Study of Political Elites and Institutions in the People's Republic of China: The State of the Field.” *China Quarterly* 139 (September 1994), pp. 714-730.

“Understanding Nuclear Proliferation: Theoretical Explanation and China's National Experience.” *Security Studies* 2:3-4 (Spring/Summer 1993). Reprinted in Zachary S. Davis and Benjamin Frankel, eds., *The Proliferation Puzzle: Why Nuclear Weapons Spread (And What Results)* (London: Frank Cass, 1993), pp. 213-255.

“Robust and Affordable Security: Some Lessons from the Second-ranking Powers During the Cold War.” *Journal of Strategic Studies* 15:4 (December 1992), pp. 476-527.

“Explaining Politics in the People's Republic of China: The Structural Alternative.” *Comparative Politics* 22:3 (April 1990), pp. 301-322.

“The Domain of Inquiry in Political Science: General Lessons from the Study of China.” *Polity* 21:3 (Spring 1989), pp. 517-537.

Review, *The Political Logic of Economic Reform in China*. By Susan L. Shirk, *American Political Science Review* 88:3 (September 1994), pp. 790-791.

Review, *Political Participation in Rural China*. By John Burns, *American Political Science Review* 83:3 (September 1989), pp. 1048-1050.

Review, *Understanding Communist China*. By Tai-chun Kuo and Ramon Myers, *Journal of Asian Studies* 47 (February 1988), pp. 120-122.

Other Media

“China's Grand Strategy and U.S. Foreign Policy,” *Foreign Policy Research Institute, E-Notes* (September 27, 2005); FPRI E-Notes are emailed and faxed globally, posted on various international security websites including H-diplo (diplomatic history server); The Nautilus Institute; Taiwan Security Research; New York University's Global Beat.

“Factors Driving China's Global Strategy and U.S. Policy Responses,” Testimony, Hearing on China's Growing Global Influence: Objectives and Strategies, *US-China Economic and Security Review Commission*, July 21, 2005, 124 Dirksen Senate Office Building, Washington, DC. (http://www.uscc.gov/hearings/2005hearings/written_testimonies/05_07_21_22wrts/goldstein_avery_wrts.htm).

- “The North Korean Nuclear Challenge and American Interests: Getting the Priorities Right,” *Foreign Policy Research Institute, E-Notes* (October 31, 2003).
- “September 11, the Shanghai Summit, and the Shift in U.S. China Policy,” *Foreign Policy Research Institute, E-Notes* (November 2001).
- “U.S. Policies Toward Asia,” *Foreign Policy Research Institute, E-Notes* (April 2001).
- “U.S. Policy Toward China: Try For The Best, Prepare For The Worst,” *Foreign Policy Research Institute, E-Notes* (April 2001).
- “Why Nukes Still Trump: Deterrence And Security In The 21st Century,” *Foreign Policy Research Institute, E-Notes* (November, 2000).
- “Fallout from the Summit? Challenging Consequences of Korean Détente,” *Foreign Policy Research Institute, E-Notes* (June, 2000).
- “The Hong Kong Experiment: A Peek into the Laboratory,” *Foreign Policy Research Institute, E-Notes* (January 2000).
- “The Taiwan Relations Act: Durable Agreement or Fraying Framework?” *Foreign Policy Research Institute, E-Notes* (Fall 1999)
- “How to Deal With Beijing,” *Foreign Policy Research Institute, E-Notes* (March 1, 1999);
- “The Year of Living Denglessly: China Under Jiang,” *Foreign Policy Research Institute, E-Notes* (June 11, 1998).
- “Scared Senseless: The South Asian Nuclear Tests,” *Foreign Policy Research Institute, E-Notes* (June 5, 1998)
- “Second Thoughts About China's Military Power,” *Foreign Policy Research Institute, Wire*, 6:4 (April 1998).
- “Great Expectations: Interpreting China’s Arrival,” Christopher H. Browne Center for International Politics, Working Paper Series, 96-2, pp. 1-54 (available on-line through Columbia International Affairs Online).
- “New Policy on China: Usefully Incoherent.” *Christian Science Monitor* (February 19, 1992). (p. 18).

Selected Recent Papers and Presentations

Presentation, Annual Meeting of the American Political Science Association,
Philadelphia, PA: August 30-September 2, 2007.
Title: "The Return of Realism?"

Presentation, Annual Meeting of the American Political Science Association,
Philadelphia, PA: August 30-September 2, 2007.
Roundtable: "China's Rising Strategy in Comparative Perspectives."

Presentation, International Studies Seminar Series, Illinois State University
Normal, IL: August 29, 2007.
Title: "*China's Rise, Sino-American Relations, and International Politics
in the 21st Century.*"

Presentation, East Asian Security Roundtable, Peking University, Beijing and
Dandong, China: June 10-15, 2007.
Roundtable: "Nuclear North Korea, Security Dynamics and Regional
Transition in Future."

Presentation, Annual Meeting of the New England Political Science Association,
Newton MA: April 27-28, 2007.
Roundtable: "The Beijing Consensus: Opportunities and Constraints in the
Developing World."

Presentation, The 70th Annual Forum at Phillips' Mill, Phillips' Mill Community
Association, New Hope, PA: April 15, 2007.
Title: "Emerging China and Its Grand Strategy: A Crouching Tiger or
Strategic Partner?"

Presentation, Program on International Security Policy, The Harris School,
University of Chicago, October 24, 2006, Chicago, Illinois.
Title: "A Rising China and the Reaction to It: International Circumstances
and National Attributes."

Presentation, Princeton-Harvard China and the World Program, Princeton
University, September 21, 2006, Princeton, NJ.
Title: "Rising to the Challenge: China's Grand Strategy and International
Security."

Presentation, Annual Meeting of the American Political Science Association,
Philadelphia, PA: August 31-September 3, 2006.
Title: "Power Transitions, Institutions, and China's Rise in East Asia:
Theoretical Expectations and Evidence."

- Presentation, Conference on Repositioning Japan in the Global Political Economy, University of Pennsylvania, September 16, 2006, Philadelphia, PA.
Title: "Regional Security in 21st Century East Asia."
- Presentation, Special Expanded Session on the Chinese Military and U.S.-China Relations, China Current Events Workshop, Fairbank Center for East Asian Research, Harvard University, May 12, 2006, Cambridge, MA.
Title: "China's Grand Strategy: Managing the 'Rise of China.'"
- Interview, *Radio Times*, WHYY (NPR) Radio, April 19, 2006, 10-11am, Philadelphia PA.
Title: "China and the US on the eve of President Hu's Visit."
- Testimony, Hearing on China's Growing Global Influence: Objectives and Strategies, US-China Economic and Security Review Commission, July 21, 2005, 124 Dirksen Senate Office Building, Washington, DC.
Title: "Factors Driving China's Global Strategy and U.S. Policy Responses."
(http://www.uscc.gov/hearings/2005hearings/written_testimonies/05_07_21_22wrts/goldstein_avery_wrts.htm).
- Presentation, Institute for International Strategic Studies, Central Party School of the Chinese Communist Party, Beijing, China, June 24, 2005.
Title: "Changes in the Bush Administration's China Policy and New Developments in Sino-American Relations."
- Presentation, Institute for the Study of World Economics and Politics, Chinese Academy of Social Sciences, Beijing, China, June 16, 2005.
Title: "Western International Relations Theory and Debates about China's Rise."
- Presentation, Annual Conference of the International Studies Association, Honolulu, Hawaii, March 5, 2005.
Title: "Profits, Power, and Pride: International Economics And Security In A Changing East Asia."
- Presentation: Party Politics and Foreign Policy in East Asia-- A Foreign Policy Research Institute Conference," Philadelphia, PA, January 27, 2005.
Title: "Reform, Partisanship, and Foreign Policy in China."
- Presentation: Dialogue Series-- US-China Nuclear Relations, Project on American Security Strategy at the Center for American Studies and Department of International Politics, Fudan University, Shanghai, China, December 30, 2004.
Title: "US and Chinese Nuclear Strategy- What's Feasible? What's

Desirable? What's Likely?"

Lecture, Center for American Studies, Fudan University, Shanghai, China,
December 29, 2004.

Title: "American Grand Strategy in the 21st Century."

Presentation: The Second Annual International Symposium, Center for China-US
Cooperation, "US-China Relations under the Bush and Hu
Administrations," Graduate School of International Studies, University of
Denver, May 7-9, 2004.

Title: "Prospects for US-China Relations: New Leaders and New
Challenges"

Presentation: The Mortara Center for International Studies within the Walsh
School of Foreign Service and the Asian Social Science & History
Seminar, Georgetown University, January 22, 2004.

Title: "The North Korean Nuclear Challenge And American Interests:
Getting The Priorities Right."

Presentation: International Conference on The United States and East Asia: Old
Issues and New Thinking, November 22, 2003, Georgetown University.

Title: "Power Transitions, Institutions, and China's Rise in East Asia:
Theoretical Expectations and Evidence."

Presentation: Conference on Globalization and the Emergence of China,
November 13, 2003, Center for Strategic and International Studies,
Washington, DC.

Title: "China's Rise in Historical Perspective: How It Differs from the
Most Disruptive Experiences of the Recent Past."

Presentation, Annual Meeting of the American Political Science Association,
Philadelphia, PA: August 27-September 1, 2003.

Title: "Responding to Unipolarity: Power Balancing, Power Transitions,
Security Dilemmas, and a Rising China."

Presentation, International Conference on the United States and East Asia: Old
Issues and New Thinking, May 30-31, 2003, Yonsei University, Seoul,
Korea.

Title: "China's Ascension and East Asia."

Lecture, "Cooperation and Conflict in US-China Relations," Institute of American Studies, Chinese Academy of Social Sciences, Beijing, China, March 13, 2003.

Presentation, "Deterrence at Low Levels of Nuclear Weapons: A Reappraisal." March 4, 2003, SAIC, McLean, Virginia.
Title: "What is Required for Deterrence at Low Levels of Nuclear Weapons--lessons from China."

Presentation, Seminar XXI, Foreign Politics, International Relations, and the National Interest: US National Security Policy, January 31-Feb 2, 2003, Airlie Conference Center, Airlie, Virginia.
Title: "US-China Relations."

Presentation, *New Directions in Chinese Foreign Policy: A Conference in Honor of Allen S. Whiting*, Fairbank Center for East Asian Research, Harvard University, November 8-9, 2002.
Title: "Across the Yalu: China's Interests and the Korean Peninsula in a Changing World."

Presentation, Taming the Crouching Tiger: Is US-China Strategic Cooperation Possible in the Absence of Mutual Trust?
Woodrow Wilson International Center for Scholars, Washington, D.C., June 5, 2002;
Capital Breakfast Seminar (for invited members of the United States Congress and their staff), Rayburn House Office Building, June 6, 2002.
Title: "Conflict and Cooperation in US-China Relations."

Presentation, International Conference on The Korean Peninsula after the Summit: Two Years of Developments and Future Prospects, Co-sponsored by Kyungnam University's Institute for Far Eastern Studies and Georgetown University's School of Foreign Service, and the Korea Press Foundation, Seoul, Korea, May 23-24, 2002.
Title: "The Future of US-China Relations and the Korean Peninsula."

Presentation, Research Program in International Security, Princeton University, Princeton, New Jersey, September 28, 2001.
Title: "The Diplomatic Face of China's Grand Strategy: An Rising Power's Emerging Choice."

Presentation, 2001 Annual Meeting of the American Political Science Association, San Francisco, CA: August 30-September 2, 2001.
Title: "Polarity and International Security in the Asia-Pacific after the Cold War."

- Lecture, Center for American Studies, Fudan University, Shanghai, China, June 25, 2001.
Title: "U.S. Grand Strategy in the 21st Century."
- Presentation, Workshops on Security Order in the Asia-Pacific, East-West Center, Honolulu, Hawaii, October 23-26, 1999; Bali, Indonesia, May 29-June 2, 2000.
Title: "Balance-of-Power Politics and Security Order in Pacific Asia."
- Presentation, Conference on the Taiwan Relations Act: Durable Agreement or Fraying Framework? Foreign Policy Research Institute, Philadelphia, PA: June 17, 1999.
Title: "The Future of the Taiwan Relations Act."
- Lectures for Dynamics of Organization, University of Pennsylvania, Philadelphia, PA: March 1998, March 1999, March 2001.
Title: "China in Historical Context."
- Lecture, Ivy League Model UN, Philadelphia, PA: February 5, 1999.
Title: "Continuity and Change in International Security at the Turn of the Century."
- Presentation, Conference on The Greater Chinese Economy: A New Scenario, Foreign Policy Research Institute, Philadelphia, PA: September 16, 1998.
Title: "Implications of the Economic Slowdown for China's Domestic Politics and Foreign Policy."
- Presentation, 1998 Annual Meeting of the American Political Science Association, Boston, MA: September 3-6, 1998.
Title: "Structural Realism and China's Foreign Policy: A Good Part of the Story."
- Presentation, Penn-Dartmouth Conference on The Emerging International Relations of the Asia-Pacific Region, Philadelphia, PA: May 8-9, 1998; Hanover, New Hampshire: October 23-24, 1998.
Title: "An Emerging China's Emerging Grand Strategy: The Neo-Bismarckian Turn."
- Presentation, The Mershon Center Colloquium Series, Ohio State University, December 4, 1997.
Title: "Great Expectations: Interpreting China's Arrival."
- Presentation, Board of Overseers Dinner, School of Arts and Sciences, University of Pennsylvania, Philadelphia, PA: March 9, 1997.
Title: "China at the Dawn of the Post-Deng Xiaoping Era."

Interview, "The Death of Deng Xiaoping," WPVI TV, Philadelphia, PA, February 20, 23, 1997.

Presentation, "Security and Deterrence in a Changing World."

In Beijing, China, April-May 1991:

Institute of American Studies, Chinese Academy of Social
Sciences
National Defense University
Academy of Military Sciences
Beijing Institute for International Strategic Studies
China Institute of International Studies
China Institute of Contemporary International Relations
China World Watch Institute

Professional, Departmental, and University Service

Chairman, Political Science Department, 2006-
Chair, Political Science Graduate Program, 1995-1997; 2001-2003
Chair, Political Science Undergraduate Program, 1991-1993
Member, Graduate Group in East Asian Languages and Civilizations, 2003-
Member, School of Arts and Sciences Personnel Committee, 2002-2004
Member, Planning and Priorities Committee, School of Arts and Sciences, 2004-
Research Associate, Center for US-China Policy Studies, San Francisco, CA,
2006-
Senior Fellow, Foreign Policy Research Institute, Philadelphia, PA, 2002-
International Board Member, Studies in Asian Security, Book series published by
Stanford University Press
Editorial Board Member, *Journal of Contemporary China*, *Asian Security*, *Orbis*
Reviewer for the following: Cornell University Press, *International Security*,
International Organization, *World Politics*, *International Studies*
Quarterly, *China Quarterly*, *Security Studies*, *Comparative Political*
Studies, *Asian Survey*, *International Relations of the Asia-Pacific*, *Journal*
of Contemporary China, Stanford University Press.
Member, Mellon and School of Arts and Sciences Dissertation Fellowships
Committee, 1991-1993
Chairman, Committee of Examiners for the Graduate Record Examination
Subject Test in Political Science, Educational Testing Service, 1992-1996
(Committee Member, 1988-96)
Member, Graduate Group in International Studies, 1988-
Member, Political Science Graduate Executive Committee, 1987-1990
Member, Provost's Committee on Contacts with the People's Republic of China,
1986-
Member, University East Asian Studies Committee, 1985-
Adviser, Undergraduate Political Science Majors concentrating on International

Politics, 1985-
Member, Political Science Undergraduate Executive Committee, 1985-1987
Member, Political Science Personnel Committee, 1985-1986, 1990-1991, 1995-1996, 1996-1998
Member, Political Science Lauder Chair Search Committee, 1992-1993, 1997-98
Member, International Relations Graduate Group, 1985-1986
Rapporteur, Conference on the State of Exchanges Between the People's Republic of China and the United States, East-West Center, Honolulu, Hawaii, February, 1985.

Fellowships and Awards

Smith Richardson Foundation Grant, International Security and Foreign Policy Program (1999-2000), Book Project: "China's Emerging Grand Strategy."
University Research Foundation Grant, University of Pennsylvania (1989, 1998)
National Resource Center, U.S. Department of Education Faculty Research Grant, Center for East Asian Studies, University of Pennsylvania (1998)
Faculty Research Grant, University of Pennsylvania (Summer 1986)
Peter Odegard Memorial Prize to the Outstanding Graduate Student in Political Science (1982)
Foreign Languages and Area Studies Fellowship, Chinese (1983-1984; 1982-83; 1980-81)
Chancellor's Fellowship, University of California, Berkeley (1982-1983)
Phi Beta Kappa (1976)
Graduated with B.A. Summa Cum Laude (1975)

Language Ability

Chinese (Mandarin): reading, speaking

Participant in the Advanced Chinese Language Training Program at Peking Normal University, Beijing, People's Republic of China (1981).